

01-24-01

A/REISSUE

Please type a plus sign (+) inside this box → PTO/SB/50 (02-00)  
Approved for use through 12/30/2000. OMB 0651-0033

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents  
Box Reissue  
Washington, DC 20231

Attorney Docket No.	SONY-R7018
First Named Inventor	KAZUNORI OZAWA
Original Patent Number	5,870,710
Original Patent Issue Date (Month/Day/Year)	02/09/1999
Express Mail Label No.	EK916866565US

APPLICATION FOR REISSUE OF:  
(Check applicable box)

Utility Patent

Design Patent

Plant Patent

## APPLICATION ELEMENTS (37 CFR 1.173)

## ACCOMPANYING APPLICATION PARTS

1.  Fee Transmittal Form (PTO/SB/56)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status. See 37 CFR 1.27.
3.  Specification and Claims in double column copy of patent format (amended, if appropriate)
4.  Drawing(s) (proposed amendments, if appropriate)
5.  Reissue Oath/Declaration (original or copy)  
(37 C.F.R. § 1.175) (PTO/SB/51 or 52)
6. Original U.S. Patent currently assigned?

Yes       No

(If Yes, check applicable box(es))

Written Consent of all Assignees (PTO/SB/53)  
 37 C.F.R. § 3.73(b) Statement     Power of Attorney  
(PTO/SB/96)

7.  Statement of status/support for all changes to the claims. See 37 CFR 1.173 (c).
8.  Original U.S. Patent for surrender  
 Ribboned Original Patent Grant  
 Statement of Loss (PTO/SB/55)
9.  Foreign Priority Claim (35 U.S.C. 119) (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449     Copies of IDS Citations
11.  English Translation of Reissue Oath/Declaration (if applicable)
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. Other: .....  
.....  
.....

## 15. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label      or  Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	CHARLES P. SAMMUT ATTORNEY AT LAW			
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NAME (Print/Type)	CHARLES P. SAMMUT	Registration No. (Attorney/Agent)	28,901
Signature		Date	January 23, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

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**REISSUE APPLICATION FEE TRANSMITTAL FORM**Docket Number (Optional)  
**SONY-R7018****Claims as Filed - Part 1**

Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
(A) 20	Total Claims (37 CFR 1.16(j))	(B) 75	*** 55 =	x \$ _____ =		or	x \$ 18 = 990.00
(C) 6	Independent claims (37 CFR 1.16(i))	(D) 19	* 13 =	x \$ _____ =			x \$ 80 = 1,040.00
							\$ 710.00
						OR	\$ 2,740.00

**Claims as Amended - Part 2**

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	* =	x \$ _____ =		x \$ _____ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ _____ =			
							OR	\$

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

\*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

\*\*\* After any cancellation of claims.

\*\*\*\* If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).

\*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

 Applicant claims small entity status. See 37 CFR 1.27. Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed. A check in the amount of \$ \_\_\_\_\_ to cover the filing / additional fee is enclosed. Payment by credit card. Form PTO-2038 is attached.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**January 23, 2001  
Date  
Signature of Applicant, Attorney or Agent of RecordCHARLES P. SAMMUT, REG. NO. 28,901  
Typed or printed name

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC941 U.S. PRO  
09/16781  
01/23/01



In re Application for Reissue of ) Applications Branch  
Patent No. 5,870,710 )  
Issued: February 9, 1999 )  
Inventors: Kazunori Ozawa et al. ) FOREIGN PRIORITY CLAIM  
Application No. 08/785,958 ) 350 Woodside Avenue  
Filed: January 22, 1997 ) Mill Valley, CA 94941-3822  
For: AUDIO TRANSMISSION, ) (415) 383-8341  
RECORDING AND REPRODUCING )  
SYSTEM (AS AMENDED) )  
Atty Docket: SONY-R7018  
\_\_\_\_\_  
)

Box REISSUE  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of MPEP §1417 and §201.14(b), applicants hereby claim the benefit of a foreign filing date under 35 U.S.C. §119 for Japanese Patent Application No. P08-030004 filed in Japan on January 24, 1996.

Such priority was claimed in the subject U.S. Patent No. 5,870,710 and a certified copy of the priority document has been filed therein. The subject patent was filed on January 22, 1997 as Application No. 08/785,958 by Kazunori Ozawa, Nobuhiro Tone and Masahiro Asai, for AUDIO TRANSMISSION, RECORDING AND REPRODUCING SYSTEM. Accordingly, under the provisions of

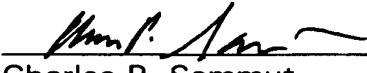
*PATENT*

-2-

MPEP §201.14(B) it is not necessary to file an additional certified copy in the present reissue application.

Respectfully submitted,

Dated: January 23, 2001

By:   
Charles P. Sammut  
Reg. No. 28,901

Attorney for Applicants

Attorney Docket No. SONY-R7018